

# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. CONV3 (8406.97515)

First Inventor or Application Identifier Jason A. Kronz

Title HIDDEN AGENT TRANSFER PROTOCOL

Only for new nonprovisional applications under 37 C.F.R. § 1.53(b) Express Mail Label No.EL 235890546 US

(Only for new nonpi	ovisional applicatio	its under 57 C.1.1c. § 1							
	PLICATION ELEM concerning utility p	IENTS atent application conten	ts Address to:	Assistant Commissioner for Patents  ADDRESS TO: Box Patent Application  Washington, DC 20221					
2. Specification (preferred ar - Descriptive - Cross Reference - Statement - Reference - Backgroum - Brief Summ - Brief Description - Claim(s) - Abstract of Simple - Copy from Simple - Copy from Simple -	te for fee processing)  [Total Pages 34]  a below)  ion  Applications  onsored R & D  endix  on  iings (if filed)  [Total Sheets 4]  [Total Pages 1]  copy)  and (37 C.F.R. § 1.63(d)  with Box 16 completed)  on  on  ion  ion  ion  ion  ion  ion	6. Nucleotide and (if application, a. Compton b. Paper c. Statem AC  7. Assignme 8. 37 C.F.R. (when there of the statement of	/or Amino Ad, all necessary uter Readable copy (identical nent verifying COMPANY)  Int Papers (constant Papers (constant Papers)  (int Papers)	al to computer copy) identity of above copies  ANG APPLICATION PARTS  ver sheets & documents)) tement Power of ee) Attorney ocument (if applicable) c Copies of IDS 1449 Citations ent ed (MPEP 503) itemized) ment filed in prior application as still proper and desired rity Document(s) laimed) ment Claiming Small Entity  dd in a preliminary amendment. epart (CIP) of prior application No	onsidered a				
when a portion has bee	inadvertently omitte	d from the submitted appl	ication parts.		reference. The incorporation can only be relic				
•		17.	CORRESPONDENC	E ADDRESS					
Customer Number or Bar Code Label (Insert Customer No. or At			Attach bar code label here	or C	orrespondence address below				
Name Gregory S. Smith, Reg. No. 40,819				·					
Address <u>Trout</u>	man Sanders LLP,	600 Peachtree Street,	···			(			
City Atlan	a	State GA		Zip Code	30308	******			
Country U.S.A		Telephone 404	-885-3354	Fax	404-962-6686				
Name (Print/Type)	Gregory S.	Smith	Registration No. (A		10,019				
Signature A Su				Da	te August 5, 1999				

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Applicati	ion or Patent of: Jason A. KRONZ	§ 8
Application or	Patent No.: Unassigned	\$ \$ \$ \$ \$ \$
Filed: Unassig	gned	\$ \$ 8
For: HIDDEN	N AGENT TRANSFER PROTOCOL	§
	VERIFIED STATEMENT (DECLARA STATUS (37 CFR 1.9 (f) AND 1.27 (c	
I hereby declare t	that I am:	
	ne owner of the small business concern identified be n official of the small business concern empowered	
N	NAME OF CONCERN: Convergence Corporation	
A	ADDRESS OF CONCERN: 270 Scientific Drive, S	nite 10, Norcross, Georgia 30092
and reproduced in that the number statement, (1) the persons employee affiliates of each parties controls of	of employees of the concern, including those of ine number of employees of the business concern in dona full-time, part-time or temporary basis during other when either, directly or indirectly, one concern has the power to control both.	alifies as a small business concern as defined in 13 CFR 121.3-18, es under Section 41 (a) and (b) of Title 35, United States Code, in its affiliates, does not exceed 500 persons. For purposes of this is the average over the previous fiscal year of the concern of the greach of the pay periods of the fiscal years, and (2) concerns are in controls or has the power to control the other, or a third party or
with regard to the (XX) (XX) (Axis (XX) (XX) (XX) (XX) (XX) (XX) (XX) (XX	that rights under contract or law have been conveyed invention entitled HIDDEN AGENT TRANSFE  The specification filed herewith application No, filed, issued, issued, by the above identified small business concern are expected.	
entity status prior	ne duty to file, in this application or patent, notificat r to paying, or at the time of paying, the earliest of entity is no longer appropriate. (37 CFR 1.28(b))	ion of any change in status resulting in loss of entitlement to small the issue fee or any maintenance fee due after the date on which
are believed to b made are punisha	be true; and further that these statements were mad- able by fine or imprisonment, or both, under Section may jeopardize the validity of the application, a	ge are true and that all statements made on information and belief e with the knowledge that willful false statements and the like so in 1001 of Title 18 of the United States Code, and that such willful my patent issuing thereon, or any patent to which this verified
TITLE OF PER ADDRESS OF I	RSON SIGNING: Mr. Charles Napier RSON OTHER THAN OWNER: Vice President PERSON SIGNING: 270 Scientific Drive, Suite 1	
DATE	5-18-99 SIGNATURE CA	we Maxie

15

20

25

#### HIDDEN AGENT TRANSFER PROTOCOL

#### RELATED APPLICATIONS

This application is related to U.S. Application Serial No. \_\_/\_\_\_\_, entitled "Universal Protocol for Enabling a Device to Discover and Utilize the Services of Another Device," filed on \_\_\_\_\_ and assigned to Convergence Corporation, the assignee of the present application.

#### BACKGROUND

Data transfer protocols are used to facilitate communication between electronic devices by providing a common set of rules by which data may be exchanged between one device and another. A universal protocol could theoretically allow one device to communicate with any other device, from simple devices like the lights in a room to complex devices like personal computers. However, to approach such an ideal, the protocol itself has to be usable with at least a significant proportion of the devices. Different types of devices have different characteristics such as microprocessor abilities, free memory, and accompanying costs. In addition, consumer devices are produced by a wide variety of manufacturers. Coordination and cooperation in interfacing a wide variety of electronic devices is very difficult.

Many times in the past, manufacturers have made attempts to allow consumer level devices to be able to communicate meaningful data or commands to one another. Many protocols define data links between standard small devices. However, this also meant that usually the "standard" became

1

٠ ميجون ري :

15

20

25

only a standard for that genre of device. Further, while these protocols provide a data link, they do not provide a standard method to allow simple relevant information transfer between two small devices. For example, a typical pager cannot send control information to any particular cellular telephone requesting the cellular telephone to initiate a call to a certain number; a "caller-ID" box is not able to instruct a PDA to display all the contact information for the person who is calling; and a PDA cannot print or fax (without a modem or special drivers).

The inventor of the present invention has developed a protocol and method to facilitate communication between various electronic devices and the sharing of features, functionality and information between these devices which has been described in the invention, U.S. Application Serial No. \_\_/\_\_\_\_\_\_, entitled, "Universal Protocol for Enabling a Device to Discover and Utilize the Services of Another Device," the entirety of which is incorporated herein by reference. This universal protocol is known as the Service Discovery Transport Protocol ("SDTP").

For background information helpful in understanding the present invention, a description of SDTP follows. SDTP is a protocol for facilitating communication between various electronic devices and the sharing of features, functionality and information between these devices. In general, SDTP is a protocol by which one device (the "client device") can discover what services are offered by another device (the "server device"). Utilizing this protocol, the client device can take advantage of the services of the server device.

Advantageously, the SDTP is simple enough to be used by nearly any type of electronic device, but at the same time it is robust enough to allow a user to author high-level applications utilizing multiple different services available from multiple devices without requiring the user to have any knowledge of any particular device interface or how the device works. SDTP is capable of use by

10

15

20

25

a wide range of consumer devices allowing them to interact by standardizing many of the normal tasks associated with these devices. SDTP provides a simple data link between these devices.

The operation of SDTP actually begins when the server device sends a message to the client device to inform the client device that it is capable of communicating using the protocol. This message and all subsequent messages may be sent using standard 8-bit ASCII characters. Once the client device determines that the server device is capable of communicating using the protocol, the client device may request the server device to identify what kinds of services the server provides. This request is performed by transmitting a type-command to the server device.

Upon receiving the type-command, the server device responds by transmitting one or more device/service identifiers back to the client device. Each device/service identifier is unique, and represents either a specific device type identification ("ID"), such as a thermostat, a door, a pager, a PDA or many others, or a specific service type identification ("SD"), such as the ability to raise the temperature of the thermostat or to transmit the messages stored in the pager. Finally, the server device transmits a standard ASCII sequence to signal the last of the device/service identifiers.

After the server device identifies itself as being capable of using the protocol, the client device may issue commands to the server device using the unique service identifiers just described. Any necessary parameters may be passed along as well. If everything operates correctly, the service identified by the command is then provided by the server device. Finally, the server device responds to each such command by sending a status code back to the client device. The status code denotes that either: (a) the requested service was unavailable; (b) the server device was unable to complete the operation; (c) the

10

15

20

25

command contained a syntax error; or (d) that the operation completed successfully.

SDTP also supports "learning" new services with which the client is not previously familiar. To invoke this capability, the client device transmits a use-command to the server device to identify the service that the client wishes to learn. Upon receiving the use-command, the server device transmits a service identifier corresponding to the new service and any available parameters. The client device may then invoke the service by sending the service identifier and the requisite parameters.

As the above description of the applicant's SDTP invention shows, attempts have been made in the past to allow various consumer level devices to communicate with one another across a room or perhaps even from room to room within a house; however, there has not been available a method for facilitating communication between consumer devices situated in different locations. For example, there is no protocol for enabling a consumer device at one's home to communicate with a consumer device at one's office. By the same token, there is no protocol for enabling a person's consumer device in a building's conference room facility to communicate with a consumer device in that person's office within the same building.

Therefore, a method is needed to facilitate communications between consumer devices where the consumer devices are located remotely from each other.

In addition, there is a need to extend the Service Discovery Transfer Protocol, disclosed in the above-referenced application, to allow for communications between remotely located consumer devices.

#### **SUMMARY**

The present invention is directed towards a system, a protocol and a method for one or more consumer devices at a first location to access the

0379350.03 4

15

25

services supplied by one or more consumer devices at a second location. In general, a consumer device at the first location must establish a connection with the a first server at the first location. Next, the first server must establish a network connection with a second server at the second location. Then, the second server at the second location must establish a connection with one of the consumer devices at the second location. In this way, a communications link is formed from a consumer device at a first location to a consumer device at a second location. Next, the consumer device at the first location requests a service to be performed by the consumer device at a second location where the consumer device at the first location communicates with the consumer device at the second location across the established communications link. Lastly, the consumer device at the second location performs the requested service on behalf of the request by the consumer device at the first location.

Therefore, it can be seen that the present invention facilitates communication between remotely located consumer devices by extending the functionality of the Service Discovery Transfer Protocol. These and other aspects, features, and advantages of the present invention will be set forth in the description that follows and possible embodiments thereof, and by reference to the appended drawings and claims.

## 20 BRIEF DESCRIPTION OF THE DRAWINGS

- Fig. 1 is a system diagram that illustrates an exemplary environment suitable for implementing various embodiments of the present invention.
- Fig. 2 illustrates an exemplary environment in which an exemplary embodiment of the HATP protocol operates.
- Fig. 3 is an exemplary sequential diagram showing the basic steps of an exemplary embodiment of the present invention.
- Fig. 4 is an exemplary state diagram showing the basic operation of an exemplary embodiment of the present invention.

T. 1997

15

20

25

# **DETAILED DESCRIPTION**

Before describing the details of the current invention, some terminology used herein is described. As used in the specification and claims of this invention, consumer devices include, but are not limited to: desktop computers, personal digital assistants (pda's), laptop computers, handheld computers, notebook computers, embedded processor devices, printers, fax machines, scanners, remote control units, X-10<sup>TM</sup> type electrical control devices, thermostats, electrical outlets, light switches, window controls, garage door systems, whole-house control systems, HVAC systems, security systems and devices, overhead projectors, slide projectors, movie projectors, video cassette recorders and players, compact disk players, DVD players, televisions, stereo systems and components including speakers, clocks, cellular and portable telephones, pagers (one-way and two-way), weather stations, and timekeeping systems. Those skilled in the art will realize that other consumer related devices may also take advantage of this invention and are included within the definition of consumer devices.

The term "protocol" generally refers to a set of formal rules or conventions describing how data is treated in an electronic system. In electronic communications, protocols define the electrical and physical standards to be observed, such as bit-ordering and byte-ordering and the transmission and error detection and correction of the bit messages, the client-server dialog, character sets, and sequencing of messages. Link protocols define the basics of how communication is established and maintained between two devices. Data protocols define how meaningful data is exchanged between the two devices using the link protocol as the underlying communication layer. Unless otherwise indicated, the term "protocol," as used below, refers to the data protocol employed by a given connection between two devices to communicate

10

15

20

25

meaningful data, such as the discovery of information about one device and the issuance of commands by one device to the other.

Referring now to the drawings, in which like numerals represent like elements throughout the several figures, aspects of the present invention and exemplary operating environments and embodiments will be described.

Fig. 1 is a system diagram that illustrates an exemplary environment suitable for implementing various embodiments of the present invention. Fig. 1 and the following discussion provide a general overview of a platform onto which the invention may be integrated or implemented. Although in the context of the exemplary environment the invention will be described as consisting of instructions within a software program being executed by a processing unit, those skilled in the art will understand that portions of the invention, or the entire invention itself, may also be implemented by using hardware components, state machines, or a combination of any of these techniques. In addition, a software program implementing an embodiment of the invention may run as a stand-alone program or as a software module, routine, or function call, operating in conjunction with an operating system, another program, system call, interrupt routine, library routine, or the like. The term program module will be used to refer to software programs, routines, functions, macros, data, data structures, or any set of machine readable instructions or object code, or software instructions that can be compiled into such, and executed by a processing unit.

Those skilled in the art will appreciate that the system illustrated in Fig. 1 may take on many forms and may be directed towards performing a variety of functions within a range of consumer devices, any of which may serve as an exemplary environment for embodiments of the present invention. The invention may also be practiced in a distributed computing environment where tasks are performed by remote processing devices that are linked through a

71.700

10

15

20

25

communications network. In a distributed computing environment, program modules may be located in both local and remote memory storage devices.

The exemplary system illustrated in Fig. 1 includes a computing device 10 that is made up of various components including, but not limited to, a processing unit 12, non-volatile memory 14, volatile memory 16, and a system bus 18 that couples the non-volatile memory 14 and volatile memory 16 to the processing unit 12. The non-volatile memory 14 may include a variety of memory types including, but not limited to, read only memory (ROM), electronically erasable read only memory (EEROM), electronically erasable and programmable read only memory (EEPROM), electronically programmable read only memory (EPROM), electronically alterable read only memory (EAROM), and battery backed random access memory (RAM). The nonvolatile memory 14 provides storage for power on and reset routines (bootstrap routines) that are invoked upon applying power or resetting the computing device 10. In some configurations the non-volatile memory 14 provides the basic input/output system (BIOS) routines that are utilized to perform the transfer of information between the various components of the computing device 10.

The volatile memory 16 may include a variety of memory types and devices including, but not limited to, random access memory (RAM), dynamic random access memory (DRAM), FLASH memory, EEROM, bubble memory, registers, or the like. The volatile memory 16 provides temporary storage for program modules or data that are being or may be executed by, or are being accessed or modified by the processing unit 12. In general, the distinction between non-volatile memory 14 and volatile memory 16 is that when power is removed from the computing device 10 and then reapplied, the contents of the non-volatile memory 14 is not lost, whereas the contents of the volatile memory 16 is lost, corrupted, or erased.

0379350.03

10

15

20

25

The computing device 10 may access one or more internal or external display devices 30 such as a CRT monitor, LCD panel, LED panel, electroluminescent panel, or other display device, for the purpose of providing information or computing results to a user. The processing unit 12 interfaces to each display device 30 through a video interface 20 coupled to the processing unit over system bus 18.

The computing device 10 may have access to one or more external storage devices 32 such as a hard disk drive, a magnetic disk drive for the purpose of reading from or writing to a removable disk, and an optical disk drive for the purpose of reading a CD-ROM disk or to read from or write to other optical media, as well as devices for reading from and or writing to other media types including but not limited to, FLASH memory cards, Bernoulli drives, magnetic cassettes, magnetic tapes, or the like. The processing unit 12 interfaces to each storage device 32 through a storage interface 22 coupled to the processing unit 12 over system bus 18. The storage devices 32 provide non-volatile storage for the computing device 10.

The computing device 10 may receive input or commands from one or more input devices 34 such as a keyboard, pointing device, mouse, modem, RF or infrared receiver, microphone, joystick, track ball, light pen, game pad, scanner, camera, or the like. The processing unit 12 interfaces to each input device 34 through an input interface 24 coupled to the processing unit 12 over system bus 18. The input interface may include one or more of a variety of interfaces, including but not limited to, an RS-232 serial port interface or other serial port interface, a parallel port interface, a universal serial bus (USB), an optical interface such as infrared or IrDA, an RF or wireless interface such as Bluetooth, or other interface.

The computing device 10 may send output information, in addition to the display 30, to one or more output devices 36 such as a speaker, modem, printer,

9

10

15

20

25

plotter, facsimile machine, RF or infrared transmitter, or any other of a variety of devices that can be controlled by the computing device 10. The processing unit 12 interfaces to each output device 36 through an output interface 26 coupled to the processing unit 12 over system bus 18. The output interface may include one or more of a variety of interfaces, including but not limited to, an RS-232 serial port interface or other serial port interface, a parallel port interface, a universal serial bus (USB), an optical interface such as infrared or IrDA, an RF or wireless interface such as Bluetooth, or other interface.

The computing device 10 may operate in a networked environment using logical connections to one or more remote systems, such as a remote computer 38. The remote computer 38 may be a server, a router, a peer device or other common network node, and typically includes many or all of the components described relative to the computing device 10. When used in a networking environment, the computing device 10 is connected to the remote system 38 over a network interface 28. The connection between the remote computer 38 and the network interface 28 depicted in Fig. 1 may include a local area network (LAN), a wide area network (WAN), a telephone connection, or the like. These types of networking environments are commonplace in offices, enterprise-wide computer networks, intranets and the Internet.

It will be appreciated that program modules implementing various embodiments of the present invention may be stored in the storage device 32, the non-volatile memory 14, the volatile memory 16, or in a networked environment, in a remote memory storage device of the remote system 38. The program modules may include an operating system, application programs, other program modules, and program data. The processing unit 12 may access various portions of the program modules in response to the various instructions contained therein, as well as under the direction of events occurring or being received over the input interface 24 and the network interface 28.

15

20

25

Fig. 2 illustrates an exemplary environment in which an exemplary embodiment of the HATP protocol operates. As shown, the environment comprises a plurality of devices 210 & 240, represented by circles. As used herein, "devices" include server devices, client devices, client/server devices 210 & 240. In addition, gateway servers or Hidden Agent Transfer Protocol ("HATP") servers 220-230 are shown. The server devices are capable of providing one or more services or carrying out one or more functions. The client devices are capable of instructing the server devices to carry out a designated function or service via a communications link represented. A service could be an external operation, such as adjusting the temperature of a thermostat or switching the lights in a room on or off, or it might involve additional communication between the server device and the client device, such as sending a telephone number from a PDA to a laptop computer.

As illustrated in Fig. 2, a particular device may be both a server device and a client device such as client/server devices 210 & 240. The client/server devices 210 & 240 may be capable of instructing other server devices to carry out a designated function while, at the same time, may carry out a function itself. For example, in its client role, a laptop computer might need to retrieve data from a PDA carried by a user, and then in its server role, the laptop might be called on by the user to provide a telephone number directly to a cellular telephone. Thus, the laptop functions as both a client device and a server device. However, in the following description, each device will be discussed only in its role as either a client device or a server device.

As illustrated in Fig. 2, gateway servers or HATP servers 220–230 interface to other HATP servers, client devices, server devices, and client/server devices. HATP facilitates an access point for devices to link across a network (internet/intranets), such that devices remotely scattered across a building, across a city, or across the world appear to each other to be in the same room

0379350.03

15

20

25

communicating over the link layer. HATP servers 220-230 act as both clients and servers to other devices. For those local devices wishing to access services from a remote device at a remote location, the HATP server proximate the local device appears to the local device as an SDTP server; whereas, the HATP server proximate the remote device appears to the remote device as an SDTP client. In the exemplary embodiment shown in Fig. 2, a two-way personal pager device 210 requests a first HATP server 220 to connect to a second HATP server 230 in order to use the services available from a security system device 240. In this instance, the first HATP server 220 acts like an SDTP server device to the personal pager device 210, and the second HATP server 230 appears to security system device 240 to be an SDTP client device.

A HATP server **220-230** typically resides on a personal computer and communicates with SDTP devices over the standard link layer. The HATP servers **220-230** communicate with each other over the Internet or over an intranet using TCP/IP protocol, also known as the network link. Of course, those skilled in the art will realize that other network protocols could be used to link one or more HATP servers **220-230** to each other.

As stated above, when connected to a device, a HATP server "looks" like a standard SDTP device implementation. When a device connects to a HATP

0379350.03

٠:

server, it will export services like an SDTP server. At least one service will be the HATP service. The HATP server has the following services available which serve as an extension of the SDTP protocol. Table 1 below outlines these services and their associated parameters.

Device	Device ID	Service	Service ID	Parameters
HATP	XYZ-HATP			
Server				
		Connect to another HATP server	XYZ- CONNECT	<network address=""> <userid> <password></password></userid></network>
		Disconnect	XYZ- DISCONNECT	

Table 1

The local HATP server will reply to the local SDTP device with one of the following responses from Table 2:

Device	Device ID	Service ID	Response	Comments
HATP	XYZ -			
Server	HATP			
		XYZ -	XYZ -	A connection
		CONNECT	NOSERV	to the server
				could not be established.
			XYZ -	The
			NOUSER	user/password
				combination is
				not authentic.
			XYZ - OK	The connection
				is established.
			XYZ -	A connection is
			ALREADY	already
i				established
				with another
				server.
		XYZ -	XYZ -	
		DISCONNECT	DISCONNECT	

Table 2

10

The HATP protocol comprises at least three HATP commands that may be issued between HATP servers once a network link connection is established. One such command is the XYZ-USER command whereby the local HATP server requests the remote HATP server to verify that a user identification sent by the local SDTP device is valid. Another command is the XYZ-PWD command by which the local HATP server verifies from the remote HATP server that the password sent by the local SDTP device is valid for that user identification. Another command is the XYZ-TYPE command which requests the remote HATP server to return a list of ID's and SD's of SDTP devices with which the remote HATP server is in communication. Table 3 below illustrates these HATP commands and parameters.

Device	Device ID	Service	Service ID	Parameters
HATP	XYZ-HATP			
Server				
		Transfer the	XYZ-USER	<userid></userid>
		User ID		
		Transfer the	XYZ-	<pre><password></password></pre>
		password	PASSWORD	
		Get a list of IDs	XYZ-TYPE	
		and services		

Table 3

10

15

The responses sent by the remote HATP server from requests by the local server are listed in Table 4 below.

Device	Device ID	Service ID	RESPONS E	COMMENTS
HATP Server	XYZ-HATP	XYZ-USER	XYZ-OK	<userid> is in the database of users</userid>
			XYZ- NOUSER	<userid> is not in the database of users</userid>
		XYZ- PASSWORD	XYZ-OK	<pre><password> is valid for that <userid></userid></password></pre>
			XYZ- INVLDPW	<pre><password> is invalid for that <userid></userid></password></pre>
		XYZ-TYPE		Returns a list of ID's and SD's in communication with the remote HATP server.

Table 4

Fig. 3 is an exemplary sequential diagram illustrating the basic steps of an exemplary embodiment of the present invention. It is important to note that this diagram is meant to depict only the basic functionality of the protocol and that actual use of the protocol will commonly involve a greater or lesser number of steps. Further, it is important to note that the steps shown and described do not all have to take place in the sequence shown. The sequence represents only a typical use of the available protocol functions which may or may not be followed in actual use.

As shown in Fig. 3, use of the protocol of the present invention does not begin until communication between a client **210** and a HATP server **220** is already established at Step **300** using a link protocol, also referred to as a link layer. The protocol of the present invention does not require the use of a specific link layer, but in an exemplary embodiment, the protocol may impose certain requirements such as: 1) allowing for serial ASCII data transfer; and 2)

10

15

20

25

if binary data is to be sent, using standard UUEncode/UUDecode routines to provide the proper conversions to and from ASCII data. Existing link layers which meet these requirements include, but are not limited to, serial cable, IrDA, Bluetooth and TCP/IP sockets.

If a device provides a service, it must be running a protocol server. Once a connection 300 using the link layer is complete, the HATP server 220 will identify itself as being capable of communicating using the protocol of the present invention by issuing a tag line message 302. The issuance of the tag line message 302 signals the actual start of the operation of the protocol of the present invention. In an exemplary embodiment, the tag line message 302 and all subsequent messages are sent using standard 8-bit ASCII characters. In the illustrated embodiment, the tag line message 302 is in the following format:

PROTOCOLNAME VER:N.N [COMMENTS].

where PROTOCOLNAME is the name of the protocol, N.N is the current version of that protocol, and COMMENTS is an optional field which can be used to describe the manufacturer, the date of manufacture, or the like. As previously mentioned in referenced U.S. Application, Serial No. \_\_/\_\_\_\_\_\_, Convergence Corporation has developed a universal protocol, called Service Discovery Transport Protocol ("SDTP") which embodies many of the aspects of this invention. Thus, in the example shown in Fig. 3, the SDTP-enabled server transmits the following tag line message:

SDTP VER:1.0 Conv. Corp. 1998.

Further description of the protocol of the present invention will focus on this specific, exemplary embodiment, but it should be understood that the present invention is not limited to the specifics of the SDTP protocol.

Once the HATP server 220 issues the tag line message 302, the client 210 may request the HATP server 220 to identify the device types it supports and the services the HATP server 220 provides. This request is performed by

15

20

25

transmitting a type-command 304 to the HATP server 220. The type-command could be implemented in a variety of forms, but in the illustrated embodiment, the type-command 304 comprises the word "TYPE." Although it is common for the client to send the type-command 304, it should be understood that sending the type-command is not a required step. For example, a client may already be aware that a particular service is available from the server. Advantageously, this may save time in the communication process.

If the HATP server 220 receives the type-command 304, the HATP server 220 transmits to the client 210 a data packet 306-312 describing the devices and services provided by the HATP server 220. The data packet 306-312 contains several data fields. Among the data fields are one or more device/service identifiers 306-310, each of which represents either a specific device type or a specific service type. For example a device type may include a thermostat, a door, a pager, a PDA or the like. A service type may include the ability to raise the temperature of a thermostat or to transmit the messages stored in a pager. In the preferred embodiment illustrated in Fig. 2 & Fig. 3, the device is a HATP server where the device/service identifier subsists in the following form:

$$x_1x_2x_3$$
-NAME

where NAME may be a short (7 characters or less) description of the device or the service, and  $x_1x_2x_3$  is a unique three character "identifier." Each of the three characters of the three character identifier is chosen from an alphabet consisting of the characters:

In addition, the  $x_1$ ,  $x_2$  and  $x_3$  characters are chosen such that

$$(x_1 * x_2) + x_3$$

र हुन्छ

forms a unique value. This technique allows for 12161 unique device/services to be used under SDTP and HATP while at the same time only requiring 16-bit math to be used when describing a device or a service. Thus, the data packet

0379350.03

15

25

**306-312** sent by the server device must include at least one device identifier **306**. In the example shown in Fig. 3, the device identifier is  $X_{1A}X_{2A}X_{3A}$ -HATP. In addition, because HATP servers support a variety of services, the device identifier **306** is followed by multiple service identifiers **308-310**. In the example shown in Fig. 3, the service identifiers are  $X_{1B}X_{2B}X_{3B}$ -CONNECT **308** and  $X_{1C}X_{2C}X_{3C}$ -DISCONNECT **310**.

In an exemplary embodiment, each individual device/service identifier 306-310 is sent as a single line of data and is limited to a maximum of 64 characters in length. Advantageously, this technique allows devices having a limited amount of RAM to receive and process a full line of data without facing an overflow condition. The end of a line of data is signaled by transmitting the ASCII carriage return and line feed characters. Upon transmission of the final device/service identifier 306-310 by the HATP server, the end of the response is signaled by transmitting an end of response message 312. In an exemplary embodiment, an end of response message 312 includes the ASCII characters .<CR><LF>. Thus, the basic structure of a HATP server data packet sent in response to a type-command would be as follows:

ID: 
$$X_{1A}X_{2A}X_{3A}$$
-HATP SD:  $X_{1B}X_{2B}X_{3B}$ -CONNECT SD:  $X_{1C}X_{2C}X_{3C}$ -DISCONNECT ...<

Once the HATP server 220 has established a link with the client 210, in the exemplary embodiment a pager, the pager may issue commands to the HATP server 220. The CONNECT service request 314 is sent from the client 210 to the HATP server followed by the required parameters. The purpose of the CONNECT service is to request the local HATP server 220 to connect to a remote HATP server 230; therefore, the parameters required for the CONNECT service include the network address of the remote HATP server 230 to which

10

15

20

25

the client **210** wishes to become connected, the user ID of the client **210**, and the password of the client **210**. The CONNECT request **314** consists of the CONNECT command followed by IP address 100.100.100.100, user identification "BOB", and password "0444."

Once the HATP server 220 receives the client's CONNECT request 314, the HATP server 220 uses the appropriate protocol, TCP/IP or another protocol, to form a network link connection 332 with the remote HATP server 230. When the network link connection 332 is established between the two HATP servers 220 and 230, the HATP server 230 at the remote location, shown as an office PC, sends forth to the HATP server 210 at home a tag line message 334. In an exemplary embodiment, the tag line message 334 and all subsequent messages are sent using standard 8-bit ASCII characters. In the illustrated embodiment, the tag line message 334 is in the following format:

PROTOCOLNAME VER:N.N [COMMENTS].

where PROTOCOLNAME is the name of the protocol, N.N is the current version of that protocol, and COMMENTS is an optional field which can be used to describe the manufacturer, the date of manufacture, or the like. Thus, in the example shown in Fig. 3, the HATP server 230 transmits the following tag line message:

HATP VER:1.0 Conv. Corp. 1998.

The HATP server 220 will next send the user command 336 to the HATP server 230. In this case, the USER command 336 includes the parameter "BOB" because this is the user name that client 210 sent as the user ID parameter of its connect command 314. HATP server 230 will check its database of registered user ID's to ensure that "BOB" is a registered user identification. In this example, "BOB" is a registered user identification, so the HATP server 230 responds to HATP server 220 by issuing the OK response 338. The HATP server 220 will then send the password command (PWD) 340

15

20

25

to the HATP server 230. The parameter following the password command 340 is the password which the SDTP client sent as a parameter with its connect command 314 to the HATP server 220. The HATP server 230 will check this password against that stored within its internal database as the appropriate password for BOB and, if this password is correct, will respond with OK 342. The HATP server 220 upon receiving the OK 342 response from the HATP server 230 will then initiate an OK response 344 to the client 210. This OK response allows the client 210 to verify the connection was made between the two HATP servers 220 and 230.

From this time until a DISCONNECT command is sent from the client 210 to its local HATP server 220, all remote SDTP devices which have an established link layer with the remote HATP server (a) will appear to the local SDTP device to be located locally and (b) will respond to any service requests initiated from the local SDTP device. In the exemplary embodiment of Fig. 2 and Fig. 3, a security system 240 is remotely located at the site of the remote HATP server 230. At some earlier point in time, the security system 240 established a link 316 with the HATP server 230. The HATP server 230 issued a TYPE command 320 to the security system 240. The security system 240 responded with its ID and services 322-330. In this example, the response consisted of the following services STAT 324, ON 326, and OFF 328. While this example, for illustration, shows only a single SDTP device, multiple SDTP devices could have established links with the HATP server 230.

While not required for the local client to access services from the remote server or servers, a local client will usually initiate a TYPE command 346 to interrogate the services available to the local client through the remote HATP server 230. When the local HATP server 220 receives the SDTP TYPE command 346, it sends the HATP TYPE command 348 to the remote HATP server. The remote HATP server 230 will respond with its own ID and

15

20

25

associated services 350, 354, 358, as well as all ID's and services 230, 362, 366, 370, 374 and, 378 for SDTP devices in communication over the link layer 316 with the remote HATP server. As the local HATP server 220 receives these responses to the HATP TYPE command 348 from the remote HATP server 230, the local HATP server 220 passes these responses on to the client 210 by transmission of the various commands 352, 356, 360, 364, 368, 372, 376, and 380.

At this point, the local client device 210, in the illustrated embodiment a two-way pager, may seek to access services from the remote server device 230. For example, the local client device 210 may issue the STAT command 382 to seek the status of the alarm system at the office. The local HATP server 230 will relay this command to the remote HATP server 230 by issuing the STAT command 384 which will in turn relay this service to the remote server 240 by issuing the STAT command 386. The remote server device, security system, will determine its status, in this case ARMED, and send this information back to the remote HATP server 220 by replying with the response ARMED 388. The remote HATP server 230 will forward the armed response 390 to the local HATP server 220 which will in turn respond to the local client 210 with the ARMED response 392. Through the mechanism described above, a local client device can access services from a remote server device.

Fig. 4 is a useful state diagram of the operation of a typical HATP server running the HATP extended SDTP protocol and the HATP protocol. Upon powerup, the HATP server enters a READY state 400. From this READY state 400, several events may trigger action by the HATP server. If an SDTP device establishes a link layer with the HATP server and a new link is established 405, the HATP server will issue the TYPE command 410 to inventory the services available from the SDTP devices linked to the HATP server. If the SDTP devices are server or client/server devices, they will respond to the TYPE

10

15

20

25

command **410** with a TYPE response **420** consisting of their ID's and SD's (available services). The HATP server will store this inventory of available services in a database for future reference and return to the READY state **400**. If the new SDTP devices are only client devices, there will be no response **415** from the new client devices, and the HATP server will return to its READY state **400**.

Also from READY state **400**, the HATP server may receive a TYPE command **425** from any SDTP devices that have established a link layer with the HATP server. The HATP server would then respond with its own ID of HATP and a listing of its available services: CONNECT & DISCONNECT.

From READY state **400**, the HATP server may receive a link layer CONNECT request **435** from an SDTP device. The HATP server will verify **440** that the user identification and password are valid for the remote HATP server with which the SDTP device requests the connection to be made. If the user identification/password combination is not valid or if a connection with another remote HATP server has already been established, the connection will fail **445** and the HATP server will return to its READY state **400**. If the user identification/password combination is valid and there is not a connection already established, the HATP server will change to its CONNECTED AS LOCAL state **450**.

From the CONNECTED AS LOCAL state **450**, the HATP server acts as a local gateway through a remote HATP server to remote SDTP servers. If the HATP server receives a TYPE command **452** from the local SDTP device, the HATP server will query the remote HATP server for a listing of ID's and SD's available from remote SDTP devices. It will then respond **454** with this listing to the local SDTP device that issued the query and return to the CONNECTED AS LOCAL **450** state.

15

20

25

From the CONNECTED AS LOCAL state **450**, the HATP server may receive a service request **456** from the local SDTP device to access a service found at a remote SDTP device. The HATP server will pass this service request **458** to the remote HATP server, and await response **460**. After the response is received at the local HATP server, the local HATP server will reply to the client **462** by relaying the response received from the remote HATP server and then return to the CONNECT **450** state..

The HATP server may also receive a DISCONNECT command **464** from the local SDTP device after which it will disconnect **466** from the remote HATP server and return to READY state **400**.

From READY state **400**, the HATP server may receive a network layer CONNECT request **468** from another HATP server. The HATP server will verify **470** that the user identification and password is valid. If the user identification/password combination is not valid or if a connection with another HATP server has already been established, the connection will fail **472** and the HATP server will return to its READY state **400**. If the user identification /password combination is valid and there is not a connection already established, the HATP server will change to its CONNECTED AS REMOTE state **474**.

From CONNECTED AS REMOTE state 474, the HATP server acts as a remote gateway from which a local HATP server will access remote SDTP servers. If the HATP server receives a TYPE command 476 from the local HATP server, it will then respond 478 to the local HATP server that issued the query with a listing of ID's and SD's available from remote server devices and return to the CONNECTED AS REMOTE 474 state.

From CONNECTED AS REMOTE state 474, the HATP server may receive a service request 480 from the local HATP server to access a service found at a remote SDTP device. The HATP server will pass this service request 482 to the remote SDTP server, and await response 484. After the response is

15

20

25

received at the HATP server, the remote HATP server will reply to the local HATP server **486** by relaying the response received from the remote SDTP server.

The HATP server may also receive a DISCONNECT command **488** from the local HATP server after which it will disconnect **490** from the local HATP server and return to READY state **400**.

From the foregoing description, it will be appreciated that the present invention provides a system, a protocol and a method for facilitating communication between various remotely located, consumer devices and enabling the consumer devices to share features, functionality and information. Although the present invention has been described using various examples and command formats, it will be appreciated that the present invention is not limited by these examples.

The present invention may be implemented and embodied in a variety of devices and may be implemented in software or hardware. In addition, the operation, steps and procedures of the present invention may be implemented in a variety of programming languages. The specification and the drawings provide an ample description of the operation, steps and procedures of the present invention to enable one of ordinary skill in the art to implement the various aspects of the present invention.

The present invention has been described in detail with particular reference to exemplary embodiments. It is understood that variations and modifications can be effected within the spirit and scope of the invention, as described herein before, and as defined in the appended claims. The corresponding structures, materials, acts, and equivalents of all means or step plus function elements in the claims below are intended to include any structure, material, or acts for performing the functions in combination with other claimed elements as specifically claimed.

#### **CLAIMS**

What is claimed is:

- 1. A method for a first device to access the services of a second device, comprising the steps of:
- establishing a first, communicative connection between the first consumer device and a first server;

establishing a second communicative connection between the first server and a second server;

establishing a third, communicative connection between the second server and the second device;

requesting a service, by the first device, from the second device utilizing the first, second, and third communicative connections; and performing, at the second device, the requested service.

- The method of claim 1 wherein the step of establishing the second
   communicative connection further comprises the step of verifying that the first device has authorization to establish the second communicative connection.
  - 3. The method of claim 1 further comprising, after the performing step, the step of sending from the second device to the first device the status of the performing step.
- 4. The method of claim 1 further comprising, after the establishing a second communicative connection step, the step of reporting to the first device a listing of services available from the second device.
  - 5. The method of claim 1 wherein the establishing a first communicative connection step comprises the step of establishing a wireless communicative connection between the first device and a first server.
  - 6. The method of claim 1 wherein the establishing a third communicative connection step comprises the step of establishing a wireless communicative connection between the second device and the second server.

25

7. An apparatus implementing the method of claim 1.

10

15

8.	A server device that is capable of communicating over a first
comm	nunications link with a client device and over a second network link to a
secon	d server device comprising:

a communications link interface for communicating between the server device and the client device;

a network interface for communicating between the server device and a second server device;

a processing unit, being operable to send and receive data over the communications link interface and over the network interface, said processor being further operable to:

establish a communications link for data communication through the link interface with a client device;

establish a network link for data communication through the network interface to the second server device;

forward service requests from the client device to the second server device; and

forward responses to the service requests from the second server device to the client device.

20 9. The server device of claim 8 wherein the communications link is a wireless interface.

0379350.03

10. A method for a first client device to access the services supplied by a second client device, comprising the steps of:

establishing a first link between the first client device and a first server;

transmitting a connection command over the first link to the first server, the connection command being operative to request a connection with a second server and comprises an address of the second server, a user identification, and a password;

establishing a second link between the first server and a second server;

transmitting the connection command over the second link from the first server to the second server;

verifying the authorization of the user identification and password at the second server;

notifying the first server over the second link from the second server of the acceptance of the connection command upon success of the verifying step;

notifying the first client device from the first server over the first link of the acceptance of the connection command;

requesting a listing from the first server of available services from the second client device wherein the first server requests such a listing from the second server, the second server maintaining such a listing from the second client device which is communicatively coupled to the second server over a third link, and the listing identifying at least one service offered by the second client device;

25

5

10

15

the first consumer device requesting a service from the listing to be performed by the second client device by relaying a service request to the second client device;

performing the service requested in the service request by the second client device.

11. An apparatus implementing the method of Claim 10.

12. A data structure for use in a communication protocol, the data structure having a plurality of data fields for representing data, comprising:

a first data field comprising:

data representing a three letter identification code unique to a particular device type; and

data representing a server type; and

a second data field comprising:

data representing a three letter identification code unique to a particular service type; and

data representing service types.

- 13. The data structure of claim 12 wherein the first data field further comprises optional data comprising comments.
- 14. The data structure of claim 12 wherein the data representing service types in the second data field is selected from the group consisting of "CONNECT" and "DISCONNECT".
  - 15. The data structure of claim 12 wherein the second data field further comprises data comprising parameters.
- 16. A system for allowing devices to communicate and share information,
  20 resources, and functionality, that normally could not communicate due to the inability to communicate directly with each other, the system comprising:

a local server able to communicatively couple to a local device; a remote server able to communicatively couple to a remote device and to the local server;

25 the local server being operative to:

receive a service request from the local device;
provide a request message to the remote server of the
reception and content of the service request;

25

receive a response message from the remote server, the response message being affiliated with the request message; and respond to the local device with information indicative of the response message; and

5 the remote server being operative to:

receive the request message from the local server;

perform further processing commensurate with the request
message; and

provide the response message to the local server.

- 17. The system of claim 16, wherein the service request from the local device comprises a request to establish a logical connection between the local device and the remote server and includes an IP network address of the remote server.
  - 18. The system of claim 16, wherein the service request from the local device comprises a request to establish a logical connection between the local device and the remote server.
  - 19. The system of claim 18, wherein the service request from the local device further includes a user identification and a password, and the local server is operative to provide the request message to the remote server and receive a response message from the remote server by:
- establishing a link with the remote server;
  transmitting the user identification to the remote server;
  receiving a first status indicator from the remote server in response to the user identification;

transmitting the password to the remote server; and receiving a second status indicator from the remote server in response to the password.

- 20. The system of claim 19, wherein after establishing a link with the remote server, the local server receives a message from the remote server indicating that the remote server is communicatively compatible with the local device.
- 21. The system of claim 19, wherein the first status indicator indicates that the user identification is not accepted by the remote server.
- 22. The system of claim 19, wherein the first status indicator indicates that the user identification is accepted by the remote server.
- 23. The system of claim 19, wherein the second status indicator indicates that the password provided is valid for the user identification.
- 10 24. The system of claim 19, wherein the second status indicator indicates that the password provided is invalid for the user identification.
  - 25. The system of claim 19, wherein the local server is operative to respond to the local device with information indicative of the response message by being further operative to:
- provide a first response if the response message indicates that the logical connection could not be established;

provide a second response if the response message indicates that the user identification and password are not both acceptable by the remote server;

provide a third response if the response message indicates that the logical connection is established; and

provide a fourth response if the response message indicates that a logical connection already exists with another server.

- 26. The system of claim 16, wherein the service request message from the
  local device comprises a request to disconnect a logical connection between the
  local device and the remote server.
  - 27. The system of claim 16, wherein the service request from the local device comprises a request to disconnect a logical connection between the local device

and the remote server, and the local server is operative to provide the request message to the remote server by:

transmitting to the remote server, a request to disconnect the logical connection between the local device and the remote server; and

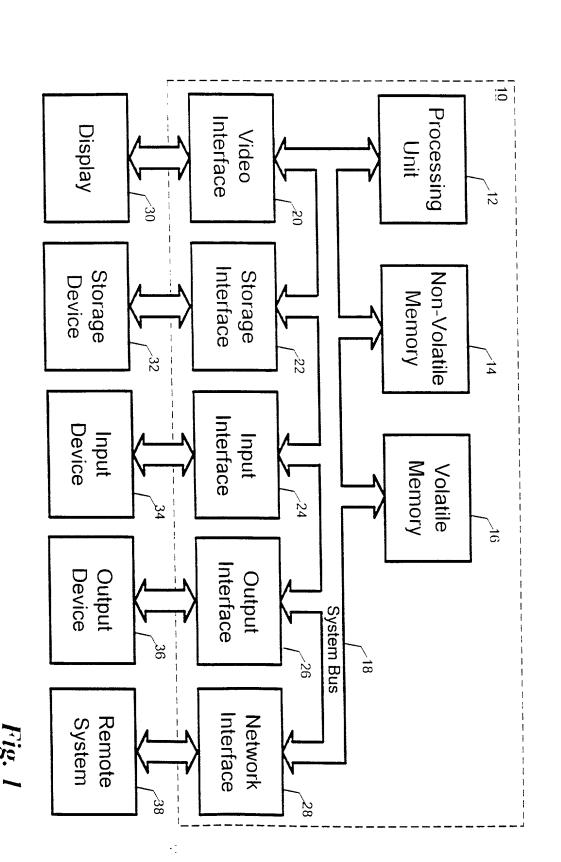
- receiving a status indicator from the remote server indicating that the logical connection is disconnected.
  - 28. The System of claim 16, wherein the service request message from the local device comprises a request for the remote device to provide a service.
- 29. The System of claim 16, wherein the service request message from the local device comprises a request for the remote server to identify a device type and a service type for at least one remote device that can be communicatively coupled to the remote server.
- 30. The System of claim 16, wherein the service request message from the local device comprises a request for the remote device to provide a service and the remote device is operative to perform further processing commensurate with the request message from the local server by requesting the remote device to perform the service identified in the service request and the request message.

0379350.03

### **ABSTRACT**

A method for a first device to access the services supplied by a second device by establishing a communicative connection between the first consumer device and a first server. The first server, establishes a communicative connection between the first server and a second server. The second server establishes a communicative connection between the second server and the second device. Once the communicative connection are established, a service request can be sent from the first device, to the second device utilizing the communicative connections. In response to receiving the request the second consumer device can perform the requested service.

0379350.03



The party state that the state of the state

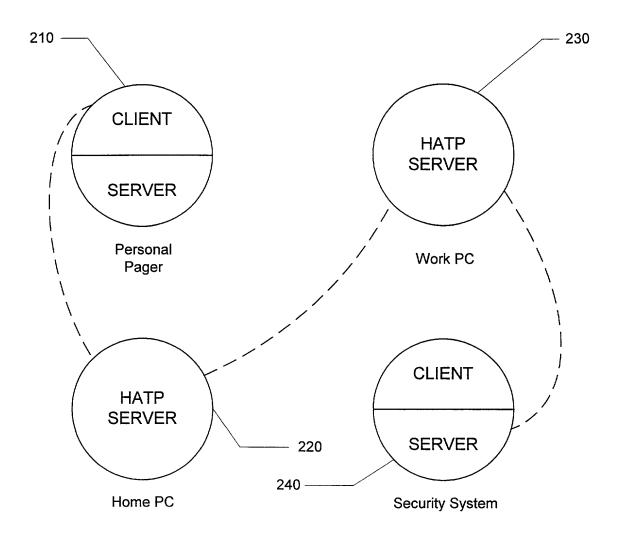


FIG. 2

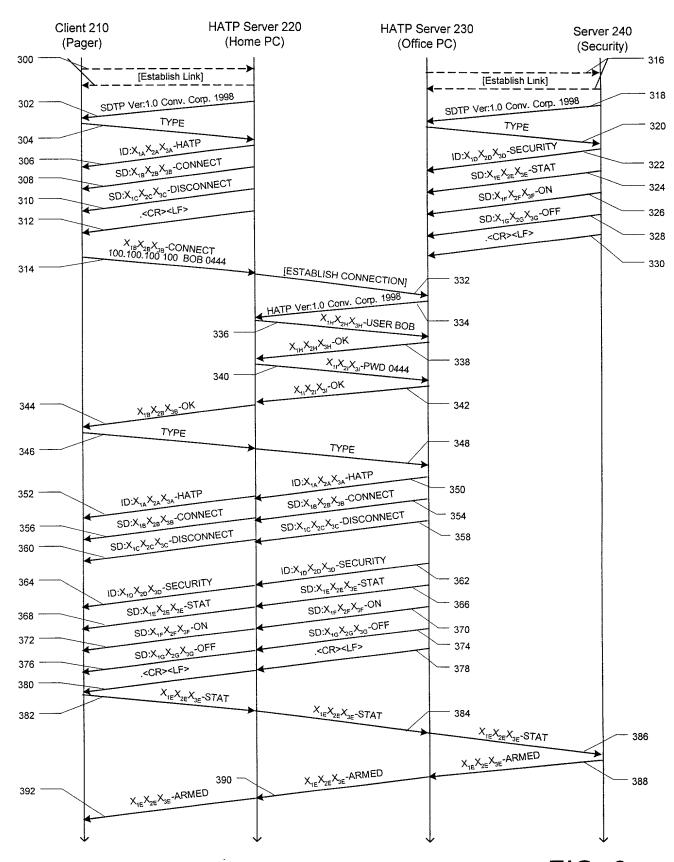
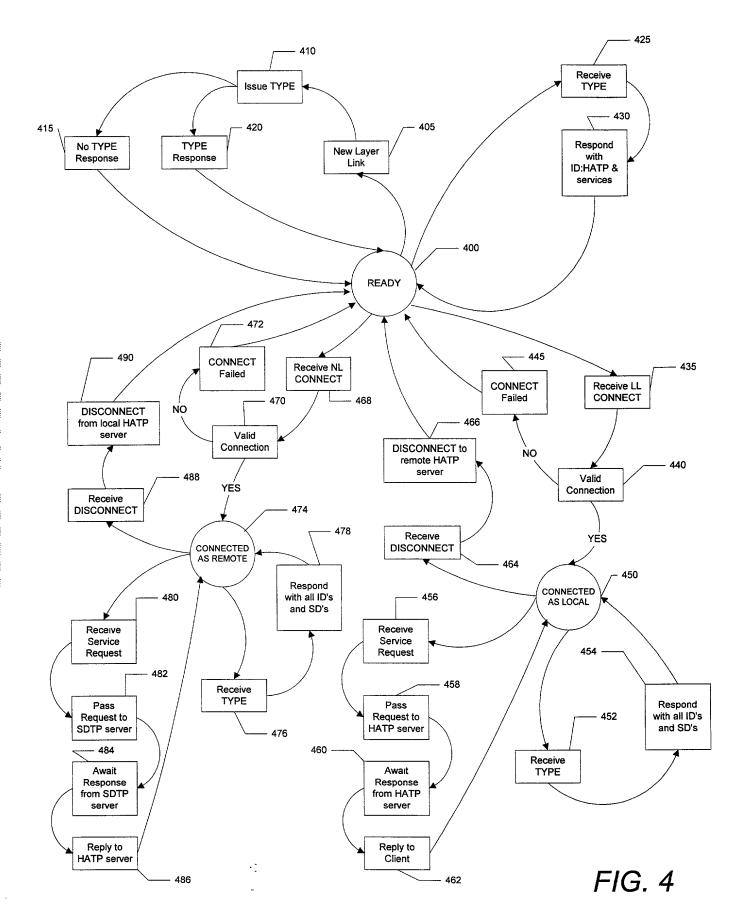


FIG. 3



# In Re Application: **DECLARATION AND POWER OF ATTORNEY**

Attorney's Docket No. 8406.97515

As a below named inventor, I hereby declare that:

My residence,	post offic	e addre	ess and	citizenship	are	as	stated	belov	w next	to 1	my n	ame.	I	believe I	am an	original,	first	and sole
inventor of the	e subject	matter	which	is claimed	and	for	which	ар	atent i	is so	ought	on	the	invention	entitle	d: HIDI	DEN	AGENT
TRANSFER I	PROTOC	OL, the	specif	ication of w	hich	:												

TRANSPERTROTOCOL,	ine specification of	windi.		
is attache was filed		as Application No	(if applicable	e) and was amended on
by any amendment referred to States of America before my it thereof or more than one year the United States of America	above. I do not ke nvention thereof, of prior to the date of more than one yes and Trademark (	now and do not belice or patented or describe of this application. I ar prior to the date of the date, and I acknow	eve that the same was every bed in any printed publicated further state that the inver- f this application. <i>I under</i> bledge the duty to disclose	cation, including the claims, as amended er known or used by others in the United ation in any country before my invention ention was not in public use or on sale in erstand that I have a duty of candor and use information which is material to the 56.
inventor's certificate listed bel	low, and have also	identified below any	foreign application for	f the foreign application(s) for patent or patent or inventor's certificate disclosing before that of the application on which
Application No.	Country	Filing Date	Priority Claimed I	Under 35 USC §119
None			Yes	No
I hereby claim the benefit und subject matter disclosed and provided by the first paragradefined in Title 37, Code of I the national or PCT internation	ler Title 35, United claimed in the pre ph of Title 35, Un Federal Regulation	States Code, §120 c sent application is n nited States Code §1 s, §1.56 which beca	of any United States applied of disclosed in the prior 12, I acknowledge the	rovisional application(s) listed below: ication(s) listed below and, insofar as the United States application in the manner duty to disclose material information as e filing date of the prior application and
Application Serial No. None	Filing Da	<u>nte</u>	Status: patented, pendir	g, abandoned
are believed to be true; and	further that these sor imprisonment, o	statement were made r both, under Section	with the knowledge that 1001 of Title 18 of the	atements made on information and belief at willful false statements and the like so United States Code, and that such willful
Trademark Office connected th	nerewith: GREGOR GERALD R. BOSS	Y S. SMITH, REG 1 , REG. NO. 36,460; JA	NO. 40,819; R. STEVAN AMES D. WRIGHT, REG.	on and transact all business in the Patent and COURSEY, REG. NO. 39,949; JOEL S. NO. P43,291; ROGER S. WILLIAMS, REG. 5.
	OUTMAN SAND Peachtree Street, 1 nta, Georgia 3030	N.E., Suite 5200	Direct telephone Gregory Scott Sn	calls at <b>(404) 885-3354</b> to: nith
Full name of sole or first inve	entor: Jáson A. KR	ONZ	Citizenship:	inited states
	on ron		Date: 5	United States
Inventor 5 Signature	- your		Date. V	(4) 1 1